

THE DOCUMENT COMPANY  
XEROX

FACSIMILE

RECEIVED  
CENTRAL FAX CENTER

JUN 22 2004

Date: June 22, 2004

TO: Examiner  
FAX #: (703) 872-9306

TOTAL # OF PAGES (including cover sheet):4

From: Daniel B. Curtis  
Palo Alto Patent Operations  
Palo Alto Research Center  
3333 Coyote Hill Road  
Palo Alto, CA 94304

Tel.: (650) 812-4259  
Fax: (650) 812-4274

If there are transmission difficulties, please contact Lisa Andreasen at (650) 812-4282.

OFFICIAL

PATENT APPLICATION  
Attorney Docket No. A2124-US-CIP1

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the  
Patent and Trademark Office (Fax No. (703) 872-9306))

on 6/22/04.  
Date

Typed or printed name of person signing this certificate

Lisa Andreasen

Signature Lisa Andreasen

Application of: Dirk Balfanz et al.

Serial No.: 10/656,494

Filed: 09/05/03

Art Unit: 2131

Examiner: Not Yet Assigned

Title: METHOD, APPARATUS, AND PROGRAM PRODUCT FOR AUTOMATICALLY PROVISIONING  
SECURE NETWORK ELEMENTS

Please acknowledge receipt of the papers identified below:

Supplemental Information Disclosure Statement  
PTO-1449

BEST AVAILABLE COPY

IMPORTANT/CONFIDENTIAL: This message is intended only for the use of the individual or entity to which it is addressed. This message contains information from the Office of General Counsel of Xerox Corporation which may be privileged, confidential and exempt from disclosure under applicable law which is not waived due to misdelivery. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately at the telephone number set forth above. We will be happy to arrange for the

**CERTIFICATE OF TRANSMISSION**

Attorney Docket No.

A2124-US-CIP1

I hereby certify that this correspondence is being facsimile transmitted to the  
Patent and Trademark Office Fax No. (703) 672-9306

on 6/22/2004  
Date

Lisa Andreasen  
Lisa Andreasen

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Dirk Balfanz et al.

Group Art Unit: 2131

Application No.: 10/656,494

Examiner:

Filed: September 5, 2003

Confirmation No.: 8357

For: METHOD, APPARATUS, AND PROGRAM PRODUCT FOR AUTOMATICALLY  
PROVISIONING SECURE NETWORK ELEMENTS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
CENTRAL FAX CENTER

JUN 22 2004

Sir:

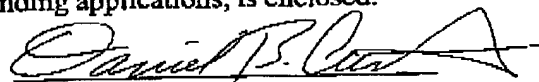
**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents or published U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (excluding an RCE), **OR** (b) before the mailing date of a first Office Action on the merits (including an RCE). *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**

Application No. 10/656,494

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (*A copy of this paper is attached*); AND
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); (OR)
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☐ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.



Daniel B. Curtis

Signature under 37 CFR 1.33 &amp; 34

Registration No. 39,159

Telephone No. 650-812-4259

Date June 22, 2004

Customer No.: 25453

Form PTO-1449  US Dept. of Commerce PATENT & TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY DOCKET NO. A2124-US-CIP1	APPLICATION NO. 10/656,494
	APPLICANT Dirk Balfanz et al.	
	FILING DATE 9/5/2003	GROUP ART UNIT 2131

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
	US 2003/0078072 A1	04/24/2003	Serceki et al.	455	557

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

BEST AVAILABLE COPY

Rev. 6/00